



**HITACHI**



# Modal analysis of Converter Based Power Systems

**POWERING GOOD FOR SUSTAINABLE ENERGY**  
2021-05-25, Mats Larsson, Hitachi ABB Power Grids Research

**HITACHI ABB POWER GRIDS**  
© Hitachi ABB Power Grids 2020. All rights reserved

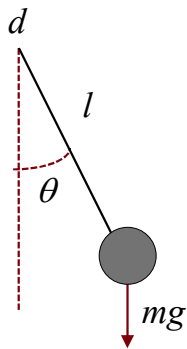
# Agenda

---

- Stability of Nonlinear Systems: Fundamentals
- LTI Modelling of converter and grid systems
- Modal decomposition
- Practical Example: HVDC Connected Offshore Wind Farm

# Example: Pendulum

## Pendulum



$$\underbrace{ml\ddot{\theta}}_{\text{angular acceleration}} = \underbrace{-mg \sin(\theta)}_{\text{gravity}} - \underbrace{kl\dot{\theta}}_{\text{friction}}$$

Assign:

$$\omega = \dot{\theta}$$

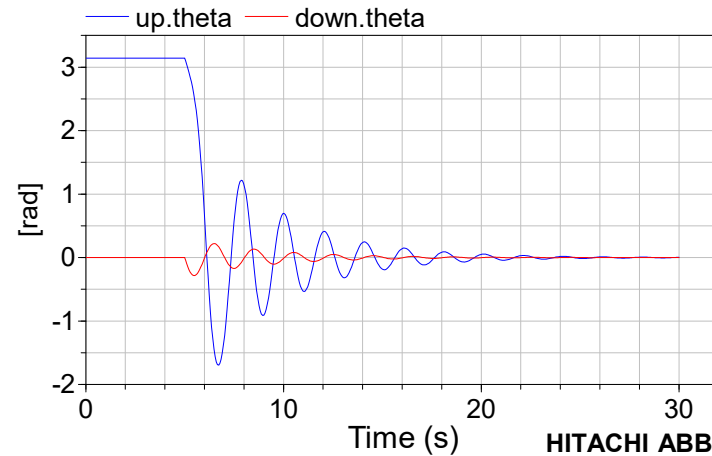
$$k = 1, l = 1, m = 1, g = 9.82$$

## Non-linear state space form

$$\dot{x} = f(x)$$

with

$$f(x) = \begin{bmatrix} \omega \\ -\frac{g}{l} \sin(\theta) - \frac{k}{m} \omega \end{bmatrix}$$



A point  $x = x^*$  in the state space is said to be an equilibrium point of the nonlinear system

$$\dot{x} = f(x)$$

if  $x(t_0) = x^* \Rightarrow x(t) = x^*$ , for all  $t > t_0$  that is, if the state starts at  $x = x^*$ , it will remain at  $x^*$  for all future time.

Consequently, equilibrium points can be found by solving the equation

$$f(x) = 0$$

See [1]

Pendulum Example:

$$f(x) = \begin{bmatrix} \omega \\ -\frac{g}{l} \sin(\theta) - \frac{k}{m} \omega \end{bmatrix} = 0 \Rightarrow \omega = 0, \theta = n\pi \text{ for all integer } n$$

If  $x = x^*$  is an equilibrium point of the nonlinear system where  $f : D \rightarrow R^n$  is continuously differentiable and  $D$  is a neighbourhood of  $x = x^*$ . Let

$$A = \left. \frac{\partial f}{\partial x}(x) \right|_{x=x^*}$$

Then,

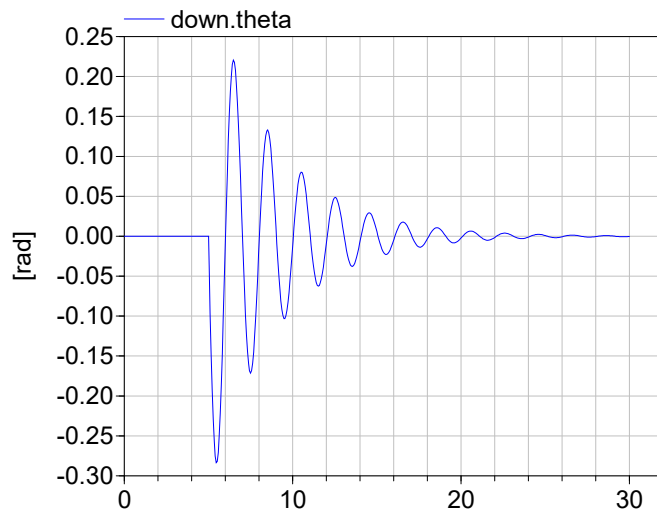
1. The equilibrium point  $x = x^*$  is asymptotically stable if  $Re \lambda_i < 0$  for all eigenvalues of  $A$ .
2. The equilibrium point  $x = x^*$  is unstable if  $Re \lambda_i > 0$  for one or more of the eigenvalues of  $A$ .

See [1]

$$A = \frac{\partial f}{\partial x} = \begin{bmatrix} 0 & 1 \\ -\frac{g}{l} \cos(\theta) & -\frac{k}{m} \end{bmatrix} \quad \begin{bmatrix} \lambda_1 \\ \lambda_2 \end{bmatrix} = \left( \begin{array}{c} \frac{k - \sqrt{k^2 l - 4 g m^2 \cos(x_1)}}{2m} \\ \frac{k + \sqrt{k^2 l - 4 g m^2 \cos(x_1)}}{2m} \end{array} \right)$$

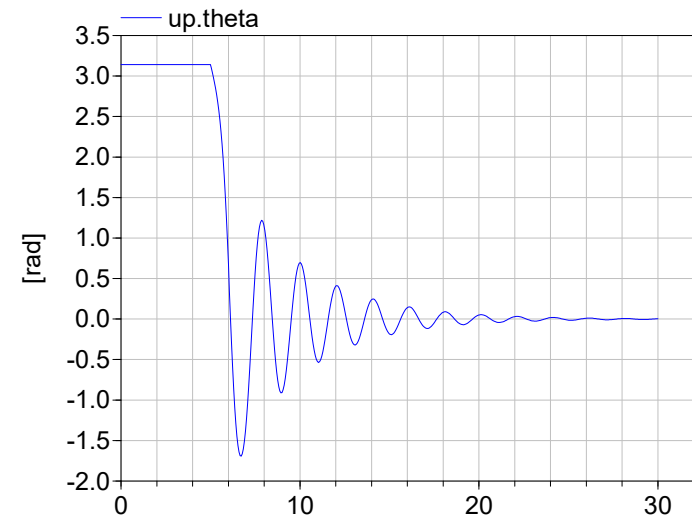
**Pendulum down - Stable equilibrium**

$$x = \begin{bmatrix} 0 \\ 0 \end{bmatrix} \Rightarrow \lambda_{1,2} = \begin{bmatrix} -0.2500 - j3.1237 \\ -0.2500 + j3.1237 \end{bmatrix}$$



**Pendulum upright - Unstable equilibrium**

$$x = \begin{bmatrix} 0 \\ 0 \end{bmatrix} \Rightarrow \lambda_{1,2} = \begin{bmatrix} 2.8936 \\ -3.3936 \end{bmatrix}$$

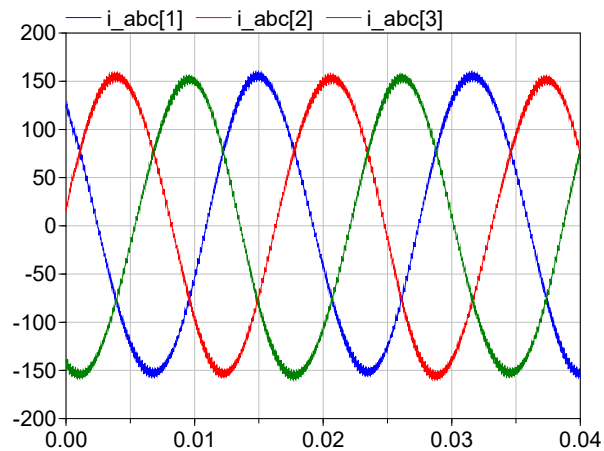
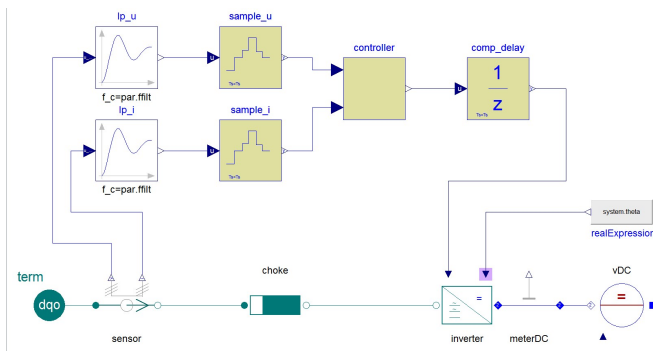


Eigenvalues of Jacobian matrix (A) are effective indicators of stability of nonlinear systems

But, observe following conditions:

- Equilibrium points need to exist => LTI modelling
- Continuously differentiable  $f(x)$  => Approximation of converter switching and sampling behaviour
- Jacobian matrix (A) needs to be calculated => Computer-aided modelling and automatic linearization
- Validity only in a limited neighbourhood (D) of equilibrium point => robust analysis

## Two-level Converter with Control



## Observations

Violates assumptions for eigenvalue based analysis

- Switching harmonics
- Time periodic signals
- Sampling in control system

To do:

- Transform converter from switched to average model
- Transform sampled elements to continuous time
- Transform continuous LTP elements to LTI form



# Coordinate Changes abc, dq and alpha-beta frames

## Transformation Matrices

		Destination frame		
		$d_{abc} = \begin{bmatrix} d_a \\ d_b \\ d_c \end{bmatrix}$	$d_{\alpha\beta 0} = \begin{bmatrix} d_\alpha \\ d_\beta \\ d_0 \end{bmatrix}$	$o_{dq0} = \begin{bmatrix} o_d \\ o_q \\ o_0 \end{bmatrix}$
Origin frame	$T$			
	$o_{abc} = \begin{bmatrix} o_a \\ o_b \\ o_c \end{bmatrix}$	$I$	$C$	$P$
	$o_{\alpha\beta 0} = \begin{bmatrix} o_\alpha \\ o_\beta \\ o_0 \end{bmatrix}$	$C^T$	$I$	$R^T$
	$o_{dq0} = \begin{bmatrix} o_d \\ o_q \\ o_0 \end{bmatrix}$	$P^T$	$R$	$I$

examples :  $v_{dq0} = Cv_{ab0}$ ,  $v_{abc} = P^T v_{dq0}$

## Look up formulas

Clarke Transform  $C = \sqrt{\frac{2}{3}} \begin{bmatrix} 1 & -\frac{1}{2} & -\frac{1}{2} \\ 0 & \frac{\sqrt{3}}{2} & -\frac{\sqrt{3}}{2} \\ \frac{\sqrt{2}}{2} & \frac{\sqrt{2}}{2} & \frac{\sqrt{2}}{2} \end{bmatrix}$ ,  $C^T = C^{-1}$

Rotation in dq0-frame  $R = \begin{bmatrix} \cos(\theta) & -\sin(\theta) & 0 \\ \sin(\theta) & \cos(\theta) & 0 \\ 0 & 0 & 1 \end{bmatrix}$ ,  $R(-\theta) = R^T$

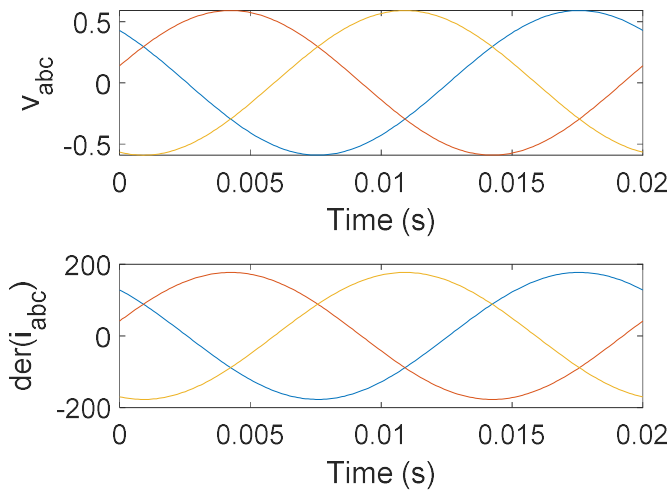
Park transform  $P = R^T C$ , with  $\theta = 2\pi f_{nom} t$   
 $P^T = P^{-1}$

Park transform derivative  $\frac{dP}{dt} = \omega J_{dq0}^T P$ ,  $J_{dq0} = \begin{bmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$   
 $\frac{dP^T}{dt} = \omega P^T J_{dq0}$

## Inductor in abc frame

Stationary conditions do not correspond to an equilibrium point:

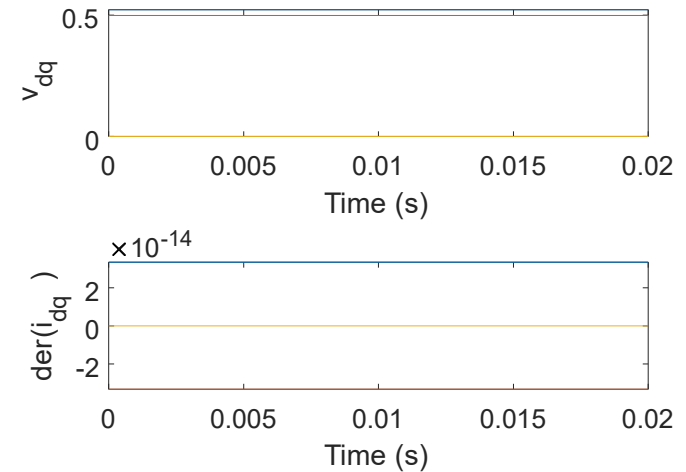
$$L_{abc} \frac{di_{abc}}{dt} = v_{abc} \quad L_{abc} = \begin{bmatrix} L_s & L_m & L_m \\ L_m & L_s & L_m \\ L_m & L_m & L_s \end{bmatrix}$$



## Inductor in dq frame

Stationary conditions correspond to equilibrium points

$$L_{dq0} \frac{di_{dq0}}{dt} + J_{dq0} \omega_s i_{dq0} = v_{dq0} \quad L_{dq0} = \begin{bmatrix} L_s - L_m & 0 & 0 \\ 0 & L_s - L_m & 0 \\ 0 & 0 & L_s + 2L_m \end{bmatrix}$$



## Inductor equations

$$L_{abc} \frac{d(i_{abc})}{dt} = u_{abc}$$

$$L_{abc} \frac{d(P^T i_{dq0})}{dt} = P^T u_{dq0}$$

$$L_{abc} \left( P^T \frac{di_{dq0}}{dt} + \frac{dP^T}{dt} i_{dq0} \right) = P^T u_{dq0}$$

$$L_{abc} \left( P^T \frac{di_{dq0}}{dt} + \omega P^T J_{dq0} i_{dq0} \right) = P^T u_{dq0}$$

$$PL_{abc} \left( P^T \frac{di_{dq0}}{\partial t} + \omega P^T J_{dq0} i_{dq0} \right) = PP^T u_{dq0}$$

$$PL_{abc} P^T \frac{di_{dq0}}{dt} + PL_{abc} \omega P^T J_{dq0} i_{dq0} = PP^T u_{dq0}$$

$$L_{dq0} \left( \frac{di_{dq0}}{dt} + \omega J_{dq0} i_{dq0} \right) = u_{dq0}$$

## Impedance Matrix Transformation

Symmetrical case

$$L_{abc} = \begin{bmatrix} L_s & L_m & L_m \\ L_m & L_s & L_m \\ L_m & L_m & L_s \end{bmatrix}$$

$$L_{dq0} = PL_{abc}P^T = \begin{bmatrix} L_s - L_m & 0 & 0 \\ 0 & L_s - L_m & 0 \\ 0 & 0 & L_s + 2L_m \end{bmatrix}$$

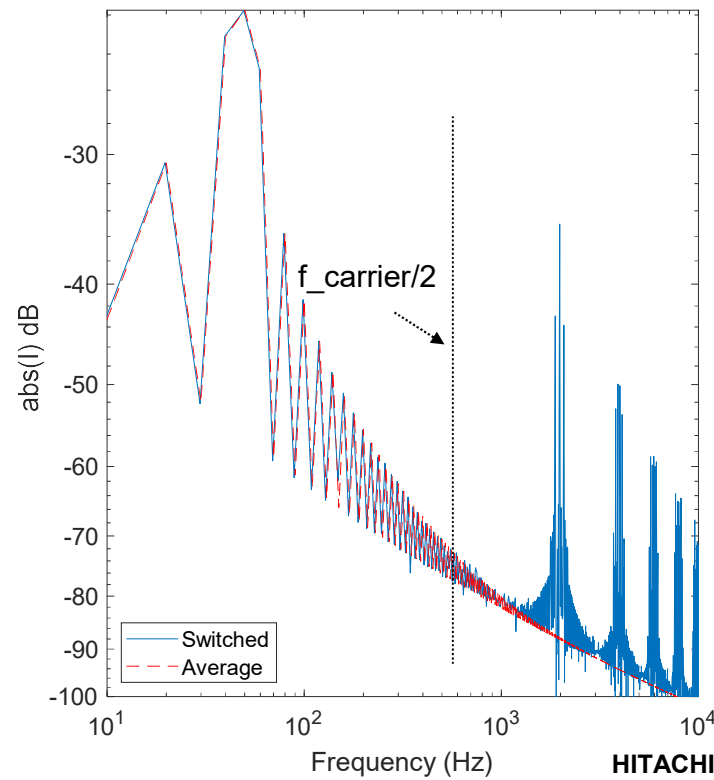
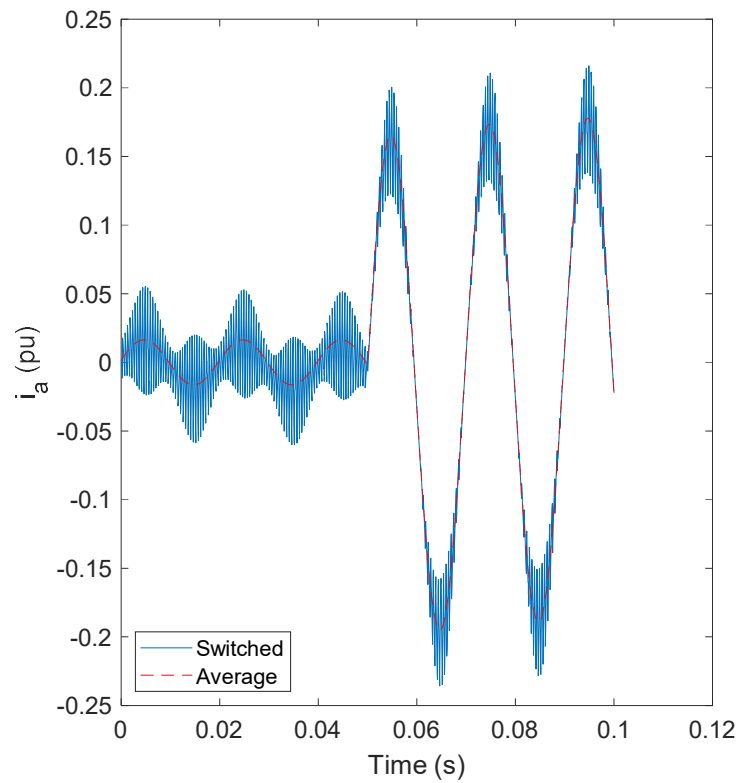
Results in time varying impedance matrices in the unsymmetrical case

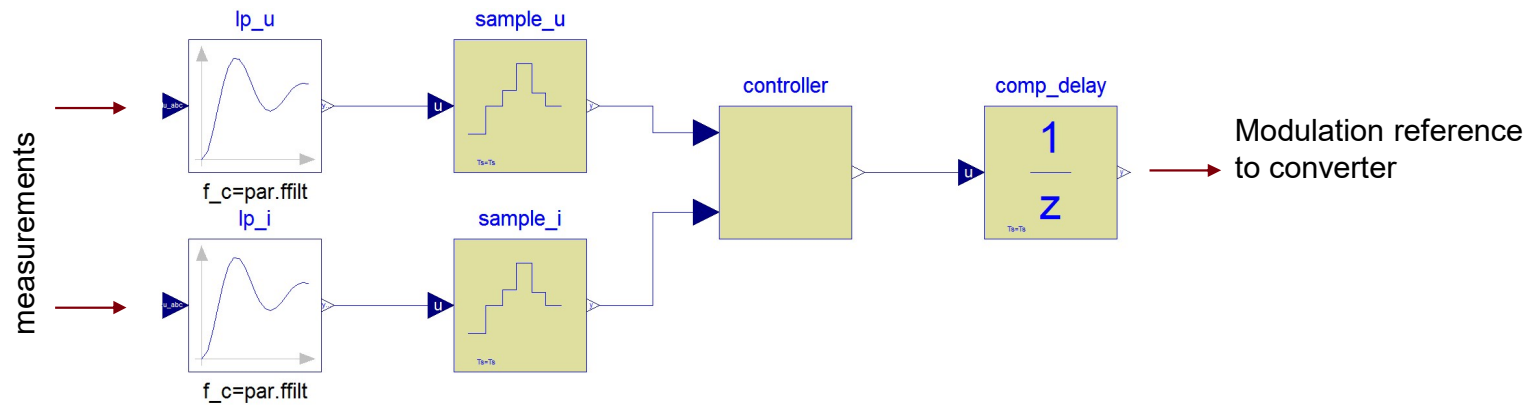
# Converter Average Approximation

- Two and three level converters can be represented by a gain and a AC/DC power balance equation
- Assumes a constant gain for all frequencies
- Accuracy mainly dependent on carrier frequency/pulse number
- Overmodulation and multilevel converters require special treatment
  
- Different models for different modulation strategies
- See e.g. [3] for details

# Accuracy of Average Model

- Example: sinusoidal PWM with 2kHz carrier, step in modulation index magnitude at 0.05 s





- Pade approximation of sampling delay
- Pade approximation of computation delay
- Transforming controllers to continuous time equivalents

# Sampling Approximation

- Zero order hold

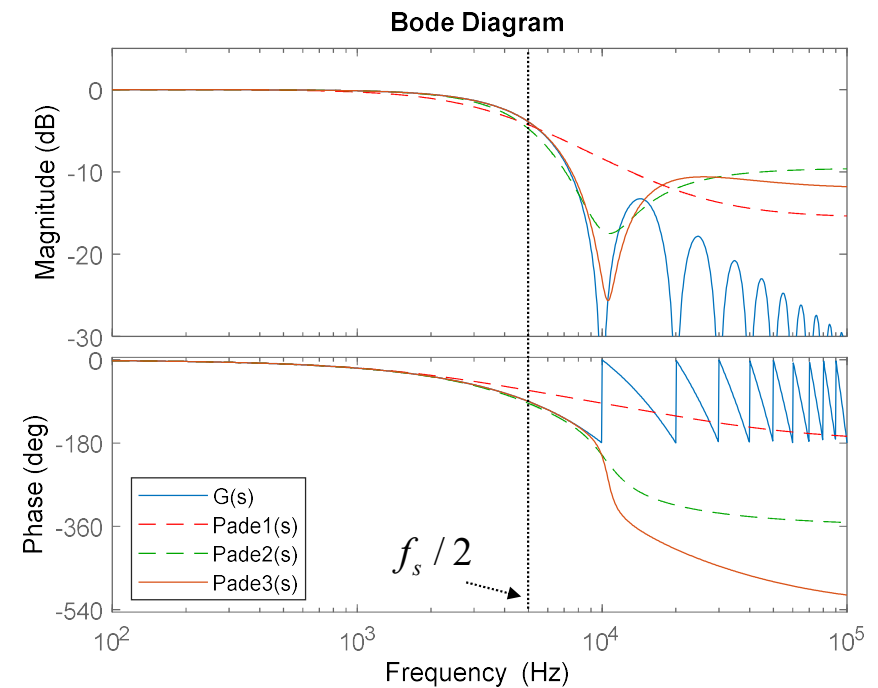
$$G(s) = \frac{1 - e^{-sT}}{sT}$$

- However not well suited for linearization
- Instead use Pade-approximation of

$$Pade_1(s) = \frac{-60Ts + 840}{360Ts + 840}$$

$$Pade_2(s) = \frac{20(Ts)^2 - 60Ts + 840}{60(Ts)^2 + 360Ts + 840}$$

$$Pade_3(s) = \frac{-(Ts)^3 + 20(Ts)^2 - 60Ts + 840}{(4Ts)^3 + 60(Ts)^2 + 360Ts + 840}$$



# Time Delay Approximation

Time delay can be represented by a transfer function

$$G(s) = e^{-sT}$$

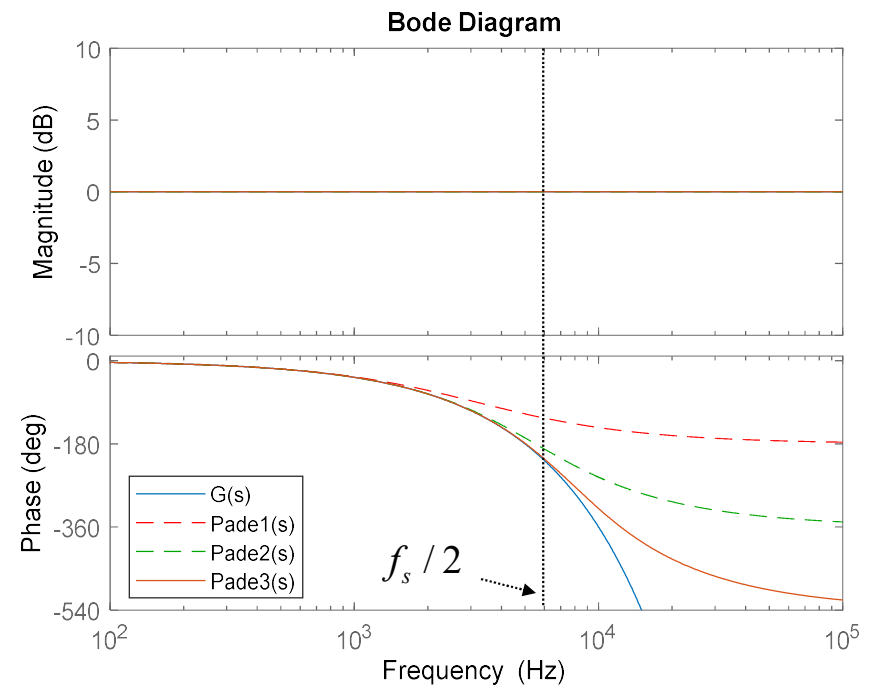
However not well suited for linearization

Pade-approximation

$$Pade_1(s) = \frac{-60(Ts) + 120}{+60(Ts) + 120}$$

$$Pade_2(s) = \frac{12(Ts)^2 - 60(Ts) + 120}{12(Ts)^2 + 60(Ts) + 120}$$

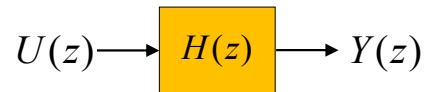
$$Pade_3(s) = \frac{-(Ts)^3 + 12(Ts)^2 - 60(Ts) + 120}{(Ts)^3 + 12(Ts)^2 + 60(Ts) + 120}$$





## Discrete Time

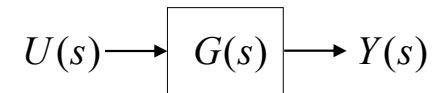
Example: PI Controller



$$H(z) = \frac{(k_p(2T_i + T_s))z - k_p(2T_i - T_s)}{(2T_i)z - 2T_i}$$

$$s = \frac{2(z-1)}{T_s(z+1)}$$
$$\longleftrightarrow$$
$$z = -\frac{(T_s s + 2)}{(T_s s - 2)}$$

## Continuous Time



$$G(s) = k\left(1 + \frac{1}{T_s}\right)$$

See [4] for details.

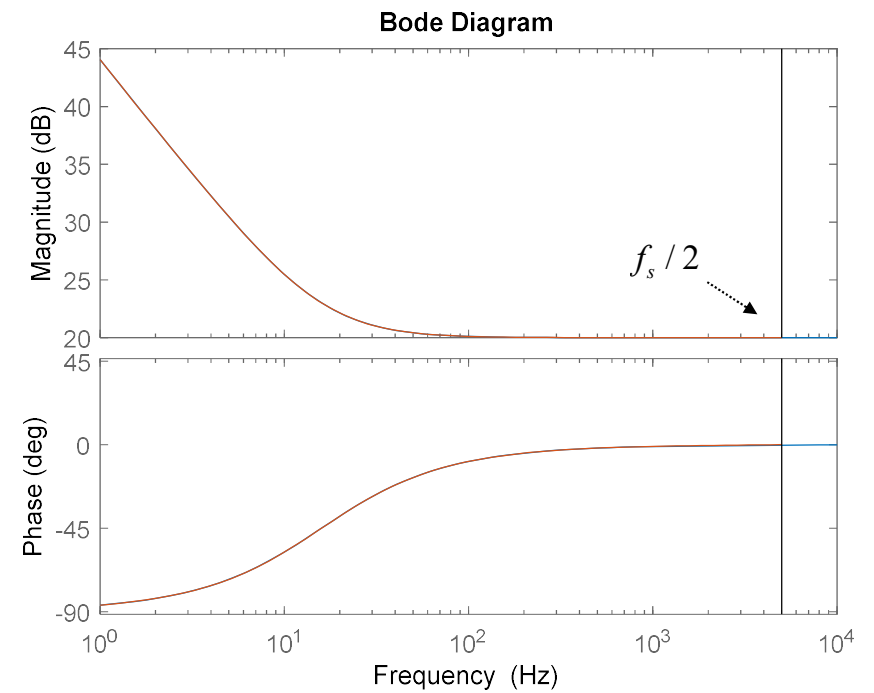
## PI Controller

$$k_p = 10, T_i = 0.01, T_s = 0.0001s$$

$$G(s) = \frac{0.1s + 10}{0.01s}$$



$$H(z) = \frac{0.201z - 0.199}{0.02z - 0.02}$$



## abc Frame

$$U_{abc}(s) \rightarrow \boxed{G_{abc}(s)} \rightarrow Y_{abc}(s)$$



$$\begin{aligned} sX_{abc} &= A_{abc}X_{abc} + B_{abc}U_{abc} \\ Y_{abc} &= C_{abc}X_{abc} + D_{abc}U_{abc} \end{aligned}$$

$$s \rightarrow sI + \omega_s J_{dq0}$$



$$A_{dq0} = A_{abc} - \omega_s J_{dq0}$$

$$B_{dq0} = B_{abc}$$

$$C_{dq0} = C_{abc}$$

$$D_{dq0} = D_{abc}$$

## dq Frame

$$U_{dq0}(s) \rightarrow \boxed{G_{dq0}(s)} \rightarrow Y_{dq0}(s)$$

$$sX_{dq0} = A_{dq0}X_{dq0} + B_{dq0}U_{dq0}$$

$$Y_{dq0} = C_{dq0}X_{dq0} + D_{dq0}U_{dq0}$$



$$G_{dq0}(s) = C_{dq0}(sI - A_{dq0})^{-1}B_{dq0} + D_{u_{dq0}}$$

# Example: Low Pass filter

Low pass filter

$$G_{abc}(s) = \begin{pmatrix} \frac{k}{Ts+1} & 0 & 0 \\ 0 & \frac{k}{Ts+1} & 0 \\ 0 & 0 & \frac{k}{Ts+1} \end{pmatrix}$$

$$k=1, T=1/(2\pi 500), \omega_s = 2\pi 50$$

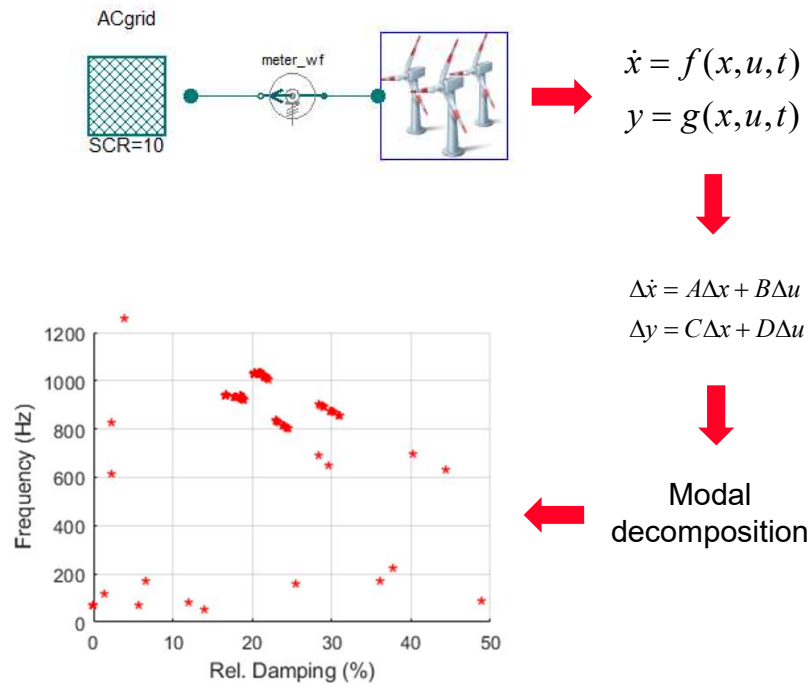
$$sX_{abc} = \begin{pmatrix} -400\pi & 0 & 0 \\ 0 & -400\pi & 0 \\ 0 & 0 & -400\pi \end{pmatrix} X_{abc} + \begin{pmatrix} 32 & 0 & 0 \\ 0 & 32 & 0 \\ 0 & 0 & 32 \end{pmatrix} U_{abc}$$

$$Y_{abc} = \begin{pmatrix} \frac{25\pi}{2} & 0 & 0 \\ 0 & \frac{25\pi}{2} & 0 \\ 0 & 0 & \frac{25\pi}{2} \end{pmatrix} X_{abc} + \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} U_{abc}$$

$$sX_{dq0} = \begin{pmatrix} -400\pi & 100\pi & 0 \\ -100\pi & -400\pi & 0 \\ 0 & 0 & -400\pi \end{pmatrix} X_{dq0} + \begin{pmatrix} 32 & 0 & 0 \\ 0 & 32 & 0 \\ 0 & 0 & 32 \end{pmatrix} U_{dq0}$$

$$Y_{dq0} = \begin{pmatrix} \frac{25\pi}{2} & 0 & 0 \\ 0 & \frac{25\pi}{2} & 0 \\ 0 & 0 & \frac{25\pi}{2} \end{pmatrix} X_{dq0} + \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} U_{dq0}$$

## Workflow

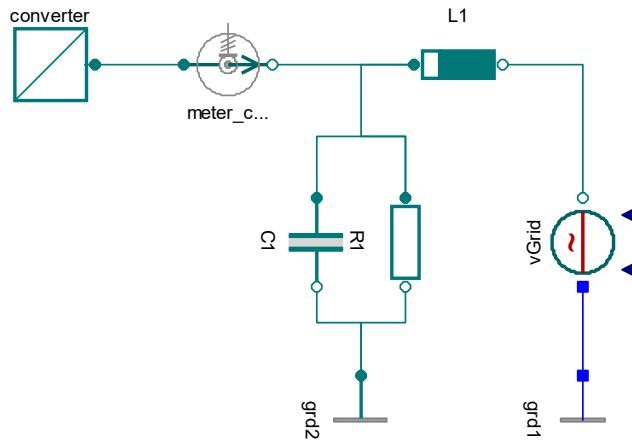


## Goals

1. Modelling of grid-converter systems
2. Linearization
3. Modal decomposition
  - Stability analysis
  - Root-cause analysis
  - Control design studies

# Example: Inverter to Grid System

## Inverter to Grid System



## Components

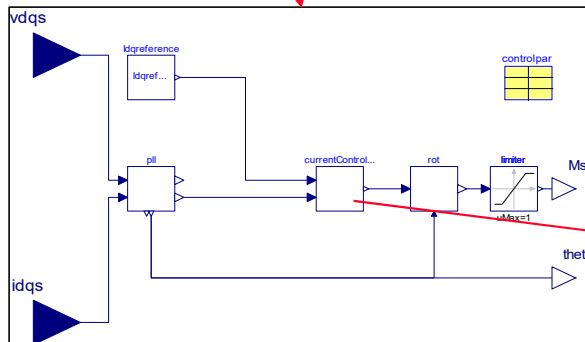
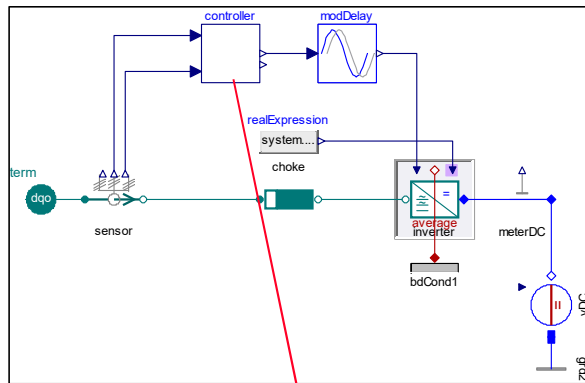
### Converter

- Converter with current control similar to those used in solar PV/wind power plant
- PLL
- Grid filter

### Grid and Load

- Weak grid
- Resistive/Capacitive load

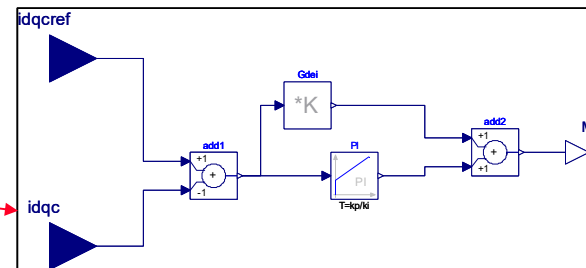
## Modelling with ConverterStab



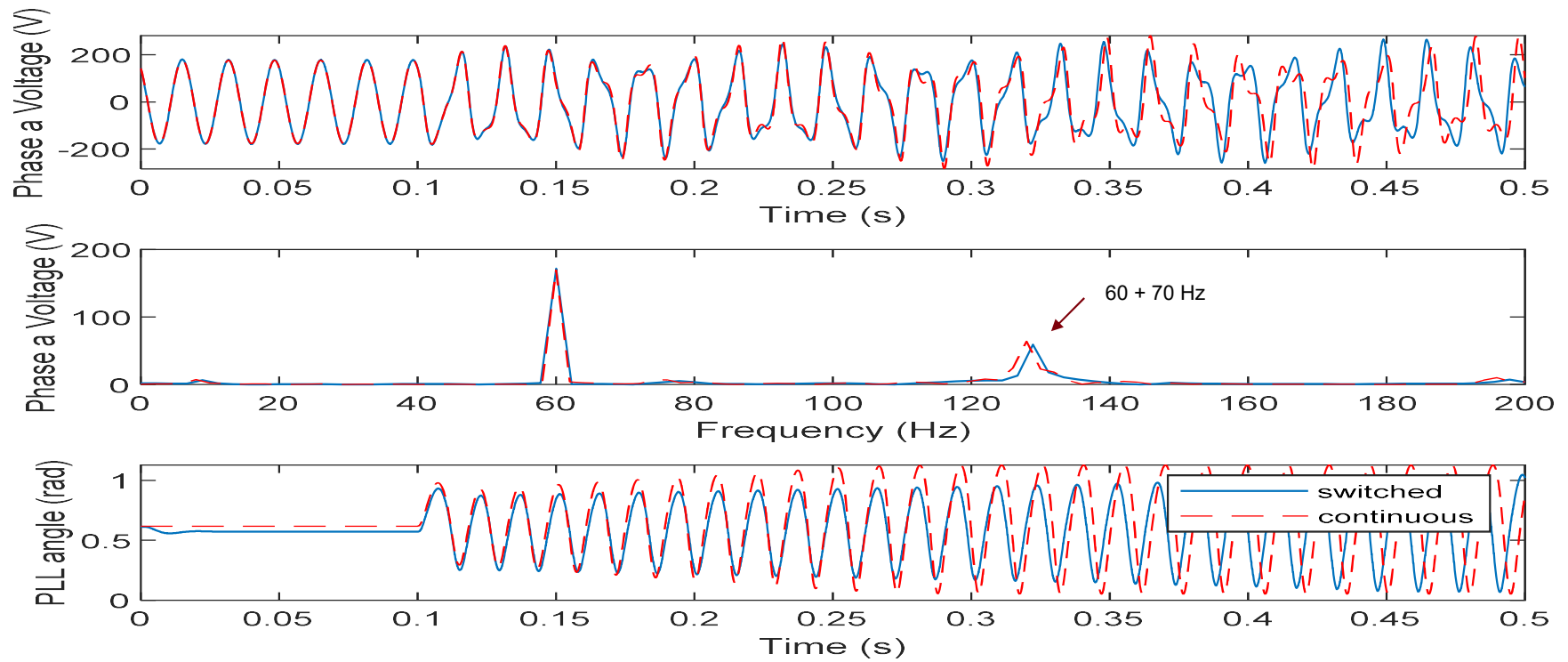
### Converter

- Average model of converter
- Switching delay approximated by first order Pade approximation ( $0.75-1.5 \cdot T_{switch}$ )
- PI Current control with decoupling in dq frame
- PLL with PI controller

Eliminates need to manually derive analytical expressions for input/output impedance



# Simulation: Base Case





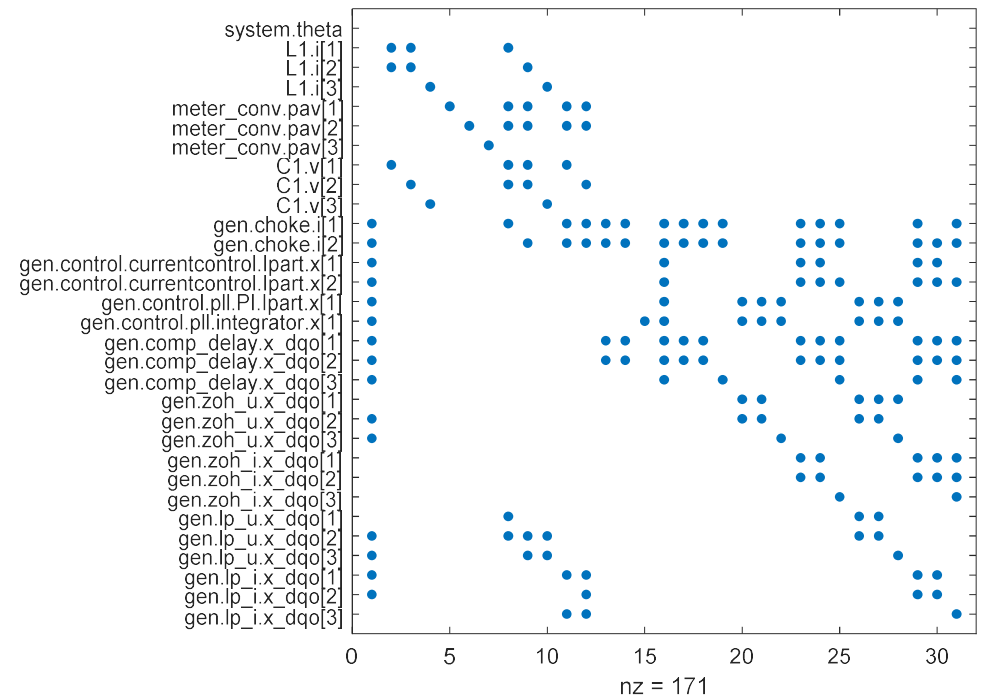
## System Matrix

- Obtained through automatic differentiation of Modelica model
- A is a sparse matrix illustrating coupling between elements
- Eigenvalues can be used to determine stability
- The A matrix models the system response around the equilibrium point used for linearization

$$\dot{x} = f(x)$$

$$\dot{x} \approx \left. \frac{\partial f}{\partial x} \right|_{x=x^*} = Ax$$

## Example



Given an initial state the eigenresponse of the system  $\dot{\mathbf{x}} = \mathbf{A}\mathbf{x}$  is :

$$x(t) = e^{At} x(0)$$

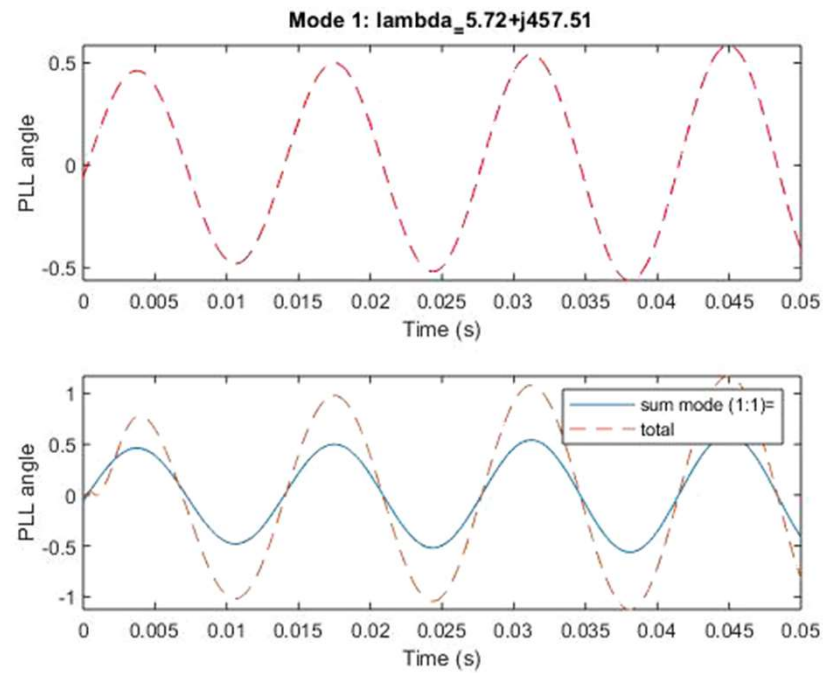
$$e^{At} = \sum_{i=1}^n e^{\lambda_i t} r_i l_i \quad \text{where } \lambda_i = \alpha_i + j\omega_i \text{ are the eigenvalues of A}$$

$$\mathbf{R} = [r_1, \dots, r_n], \mathbf{L} = [l_1, \dots, l_n]^T = \mathbf{R}^{-1}$$

$$x(t) = \sum_{i=1}^n e^{(\alpha_i + j\omega_i)t} r_i l_i x(0) = \sum_{i=1}^n e^{\alpha_i t} (\cos \omega_i t + j \sin \omega_i t) r_i l_i x(0)$$

# Modal Decomposition Animation

- Summing the modal contributions up results in original response



Contribution of mode n

Sum of contributions of mode 1 thru n

System response can be written as:

$$x(t) = \sum_{i=1}^n \underbrace{e^{\alpha_i t}}_{\text{exponential part}} \underbrace{(\cos \omega_i t + j \sin \omega_i t) r_i l_i^T}_{\text{oscillatory part}} x(0)$$

- Right half plane eigenvalues – exponential growth
- Left half plane eigenvalues – exponential decay
- Complex eigenvalues – oscillatory response
- Real eigenvalues – non-oscillatory response

Definitions:

- Attenuation
- Mode frequency
- Damping ratio

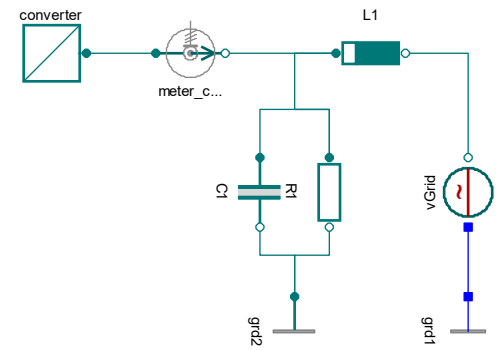
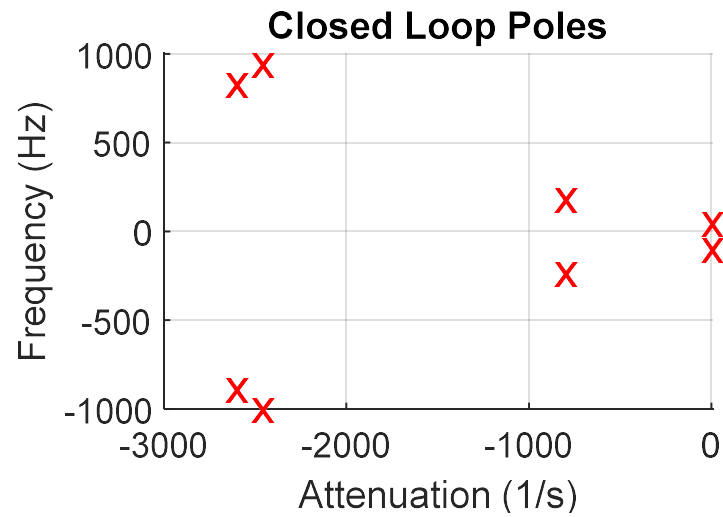
$$\zeta_i = \frac{-\alpha_i}{\sqrt{\alpha_i^2 + \omega_i^2}}$$

$$x(t) = \sum_{i=1}^n e^{\alpha_i t} (\cos \omega t + j \sin \omega t) \underbrace{r_i l_i}_{\text{participation}} x(0)$$

$$R \odot L^H = [r_1 \dots r_n] \odot [l_1 \dots l_n] = \begin{bmatrix} r_{11} l_{11} & \dots & r_{1n} l_{1n} \\ \vdots & \ddots & \vdots \\ r_{n1} l_{n1} & \dots & r_{nn} l_{nn} \end{bmatrix} = \begin{bmatrix} p_{11} & \dots & p_{1n} \\ \vdots & \ddots & \vdots \\ p_{n1} & \dots & p_{nn} \end{bmatrix}$$

← modes →
↓ states

$p_{ij}$  is called participation factor  
for state  $i$  in mode  $j$

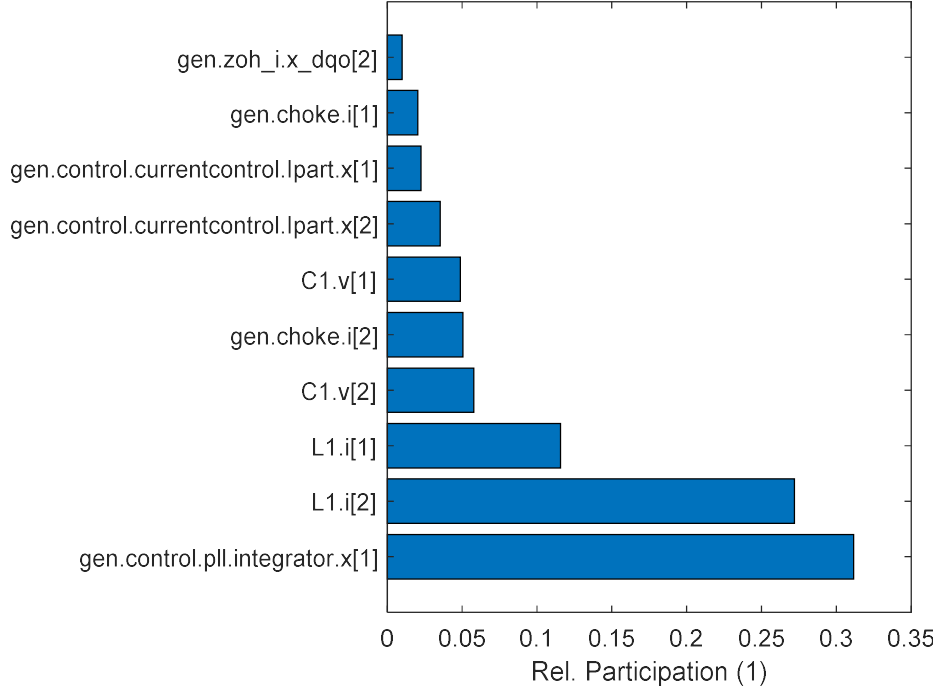


Mode #	Frequency	Damping	eq. PM	Dominant State:
1	72.83 Hz	-1.29%	-1.47	converter.controller.pll.integrator.x[1]
2	969.92 Hz	37.39%	37.22	converter.choke.i[1]
3	858.84 Hz	43.39%	41.72	converter.choke.i[1]
4	207.74 Hz	52.06%	47.64	L1.i[2]

# Participation Factors for 73 Hz Mode

## Participation Factors

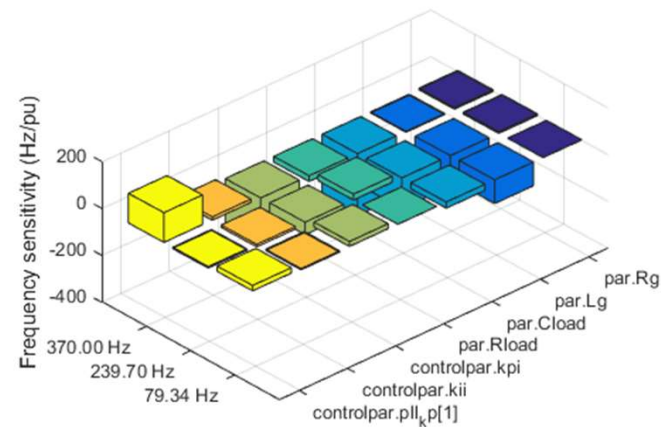
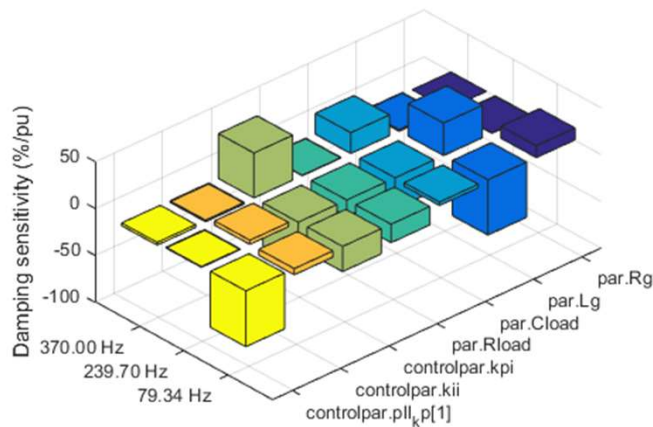
Mode 1: fobs=72.82 Hz, rel. damp=-0.01, Psum=94.48



## Observations

- The PLL angle state exhibits the strongest participation in the instability -> instability is related to the PLL tuning
- The grid inductance states exhibit the second strongest participation in the instability -> connected to grid strength
- The load capacitance states exhibit the second strongest participation in the instability -> connected to the the presence of capacitive load

## Relative Parameter Sensitivites

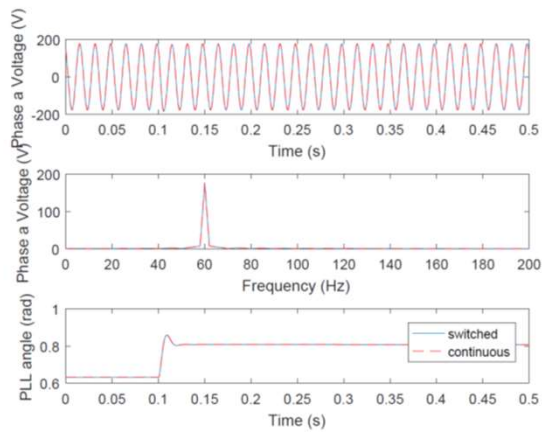


Unstable 79 Hz mode exhibits strong parameter sensitivities with respect to:

- Pll<sub>kp</sub> – PLL proportional gain
- Lg – Grid inductance
- Rload, Cload – load resistance and capacitance

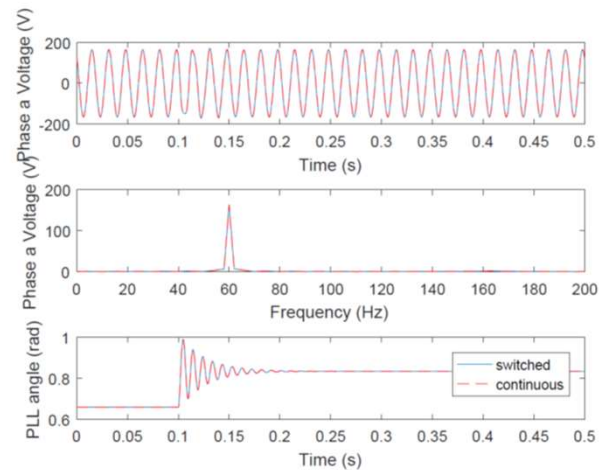


## Reduced PLL Gain



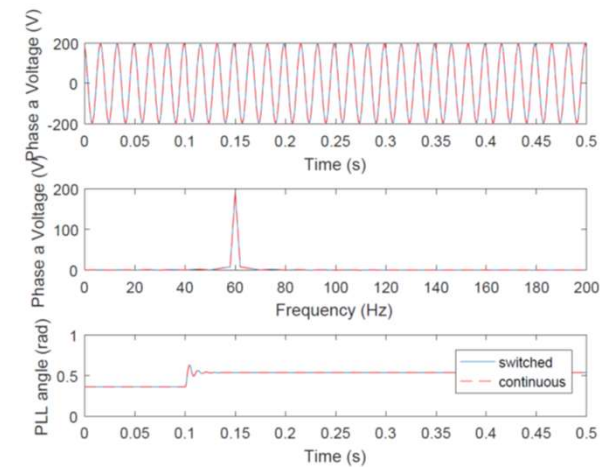
Halved PLL Gain

## Reduced Capacitive Load

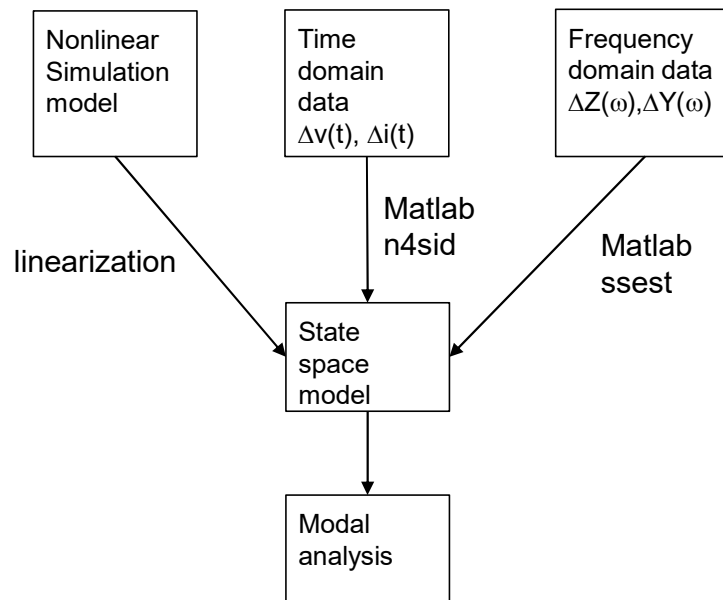


Capacitance 5 times smaller

## Reduced Grid Impedance



Halved grid inductance

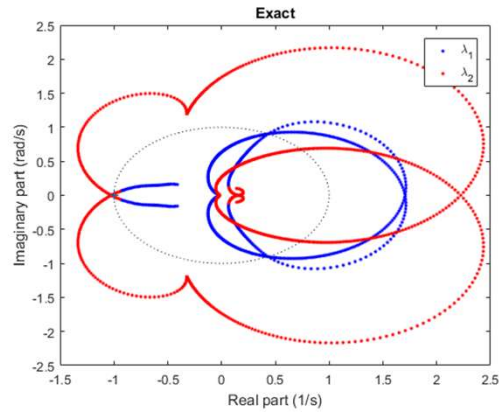


Modal analysis relies on linearized models of each component

- Transfer function can be identified from time or frequency domain data
- Modal analysis does *not require* exchange of EMT simulation models

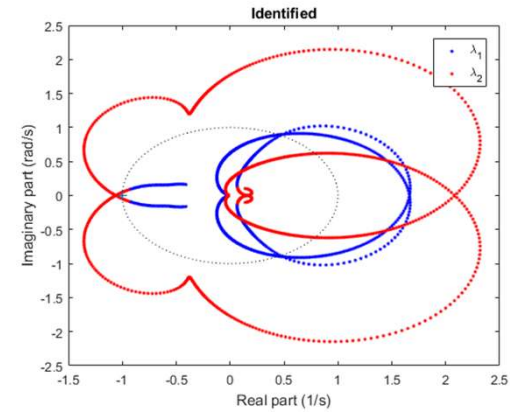
## Analytic vs Estimated Converter Impedances

### Exact Models



Gain Margin: -0.11 dB

### Identified Models

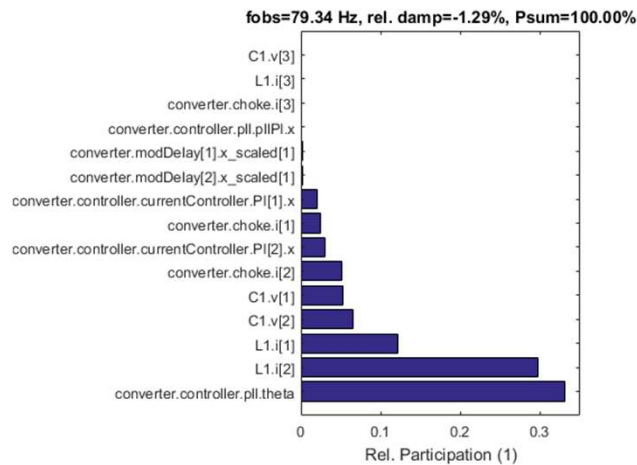


Gain Margin: -0.2 dB

## Analytic vs Estimated Converter Impedances

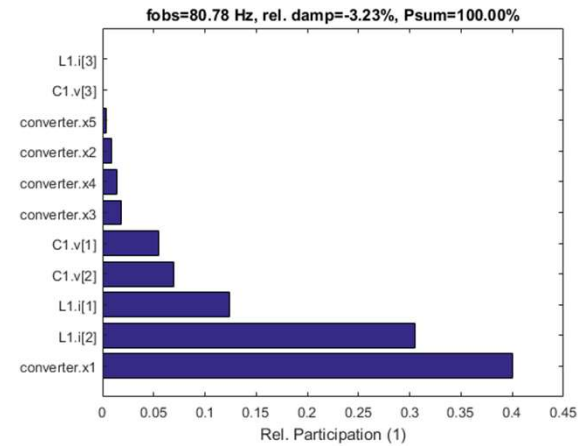
### Exact Models

Mode	Frequency	Damping (%)	Dominant State:
1	79.34	-1.29%	converter.controller.pll.theta
2	239.7	53.81%	C1.v[1]
3	370	76.17%	converter.choke.i[2]



### Identified Models

Mode	Frequency	Damping (%)	Dominant State:
1	80.78	-3.23%	converter.x1
2	240.51	53.49%	C1.v[1]
3	358.15	75.08%	converter.x2



Modal analysis offers a rigorous mathematical framework to diagnose controller stability problems and grid interactions

- Mathematical foundations go back to the days of Euler and Lagrange
- Eigenvalues and eigenvectors of the linearized system can be used to predict stability as well as identify root causes of stability problems
- Approach is practical also for large scale systems with hundreds of converters
- Care must be taken to properly approximate switching and sampling behaviour
- Good accuracy up to around half the switching frequency
- Techniques are available to integrate frequency domain models in modal analysis
- Parameter sweeps and modal sensitivity studies are useful tools to troubleshoot and optimize control design

## References / Recommended Reading

[1] Hassan K. Khalil, Nonlinear Systems, Macmillan, 1991.

[2] Modeling of Electromagnetic Transients in Power Systems”. In: Modelica Workshop 2000 Proceedings. Oct. 23, 2000, pp. 93–97.

[3] Modelling and Control of Three-phase PWM Converters, Silva Hiti, PhD Thesis, Virginia Tech, 1995.

[4] KJ Aström, B. Wittenmark, Computer-Controlled Systems: Theory and Design, Third Edition, Dover Books on Electrical Engineering.